

3. I have gone through the current Member / Client Agreements, Disclosures and Policies and Procedures available on your web-site as applicable to current trading practices and I agree to abide by the same.
4. I confirm that there is no change in my/our key KYC details like, Address, PAN Number, ID Proof, Mobile Number and E-mail Id, Depository and Bank Account for all Pay-in and Pay-out obligations etc.,
5. Bank Account Details (Only if there is change or additional bank is to be added) Copy of the cancelled Cheque leaf (for IFSC/MICR number) along with the latest transaction statement or Pass book and/or Banker's letter for having the Account

| A/C Type | A/C Number | Bank Name | Branch details |
|----------|------------|-----------|----------------|
| | | | |

6. Demat Account Details: (Copy of Client Master / Latest Transaction Statement / Pre-printed DIS, where the Client name appears)

| Client Beneficiary ID | Depository ID No | Depository Name |
|-----------------------|------------------|-----------------|
| | | |

7. I / We are enclosing herewith the cheque for the outstanding amount overdue in my account up to date. Cheque No.....datefor Rs... drawn on _____ Bank.

8. We authorize you to debit the charges, if any, to our Trading account maintained with you. Hope the above documents are in line with your need and in case of any further information / details / documentation; I give my commitment to adhere to the same. Hence, request you to do the needful and communicate the same to me/us at the earliest. I/we declare that the information given by me as above is true and correct and I/We will be responsible for any inaccuracy in it.

Signature of Client

Note:- Please Provide a self-attested PAN Card & masked Aadhar copy

| Branch Stamp | For Official Purpose |
|--------------|----------------------|
| | Name |
| | Emp ID |
| | Designation |
| | Signature |

FINANCIAL & OCCUPATIONAL DETAILS MODIFICATION FORM

To

Bajaj financial Securities Ltd

1st Floor, Unit-2, Tower B,
Mantri IT Park ,Opp Inorbit Mall,
Nagar Road, Chandan Nagar, Pune-411014

Dear Sir/Madam,

I / We request you to update below mentioned Financial & Occupational Details in my/our Account with Bajaj Financial Securities Limited:

| | | | | | | | | | | | | | | | | | | | | |
|-----------------------------|-----------------------------------|--|--|--|--|--|--|--|---------------------------------------|--|--|--|--|--|--|--|--|--|--|--|
| Trading ID | | | | | | | | | | | | | | | | | | | | |
| Demat Account Number | | | | | | | | | | | | | | | | | | | | |
| | (Please write your 8 digit DP ID) | | | | | | | | (Please write your 8 digit Client ID) | | | | | | | | | | | |

(Please tick whichever is applicable)

| Additional Financial Details | |
|---|--|
| Financial Status (Annual Income) | <input type="checkbox"/> < Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac - 5 Lac <input type="checkbox"/> Rs. 5 Lac - 10 Lac <input type="checkbox"/> Rs. 10 Lac - 25 Lac <input type="checkbox"/> Rs. 25 Lac - 1 Crore <input type="checkbox"/> > Rs. 1 Crore |
| Occupation | <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Un-Employed <input type="checkbox"/> Student <input type="checkbox"/> Business <input type="checkbox"/> House-Wife <input type="checkbox"/> Retired <input type="checkbox"/> Others (Specify) |
| If service is selected, Please provide the nature of Service undertaken <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Others (Specify)..... | |
| If Business is Selected, Please provide the nature of business undertaken, Nature of Business (Products/Services Provided)..... | |

Enclosed are the valid financial proof(s) in support of the financial status declared above. In addition to the updation of the said details in the captioned account, I/we also request you to update these details in my/our account(s), held with Bajaj Financial Securities Ltd. bearing the same PAN that is updated in the aforementioned trading and/or demat account.

Name(s) _____

Signature(s) _____

First/Sole Holder

Second Holder

Third Holder

All Demat account holder(s) need to sign. All the documents must be self attested in original. Form should be filled in CAPITAL letters only.

| List of Documentary evidence of financial details | List of Documentary evidence of financial details (incase of Company Accounts) |
|--|---|
| 1. Copy of ITR Acknowledgement (for last financial year) 2 2. Copy of Form - 16 in case of salary income (Last financial year) 3. Salary Slip (for one month in current financial year) 4. Bank account statement (for last 6 months) | 1. Copies of the balance sheet for the last 2 financial years (copies of annual balance sheet to be submitted every year – duly attested by CA) 2. Copy of ITR Acknowledgement (For last two financial years) 3. Copy of Annual Report (last two financial years - for non individuals – duly attested by CA) |

Please note that you can call us on our helpline No. **1800-833-8888** or mail us at connect@bajajbroking.in on the 5th working day from the submission of the documents (i.e. if you have submitted the documents on Monday then call us on Friday after 2:30 p.m.) to check the status.

EQUITY, DERIVATIVE & CURRENCY TRADING TARIFF SHEET

| TARIFF STRUCTURE | | | | | | | | |
|--------------------|-------------|----------|--------------|-------------------|----------|-------------------|-----------|-------------------|
| Transaction Type | Equity Cash | | Equity F & O | | Currency | | Commodity | |
| | Intraday | Delivery | Futures | Options (per lot) | Futures | Options (per lot) | Futures | Options (per lot) |
| Brokerage Rate (%) | | | | | | | | |

Other Charges

| Particulars | Charges |
|---|--|
| Annual platform / Subscription Fee <small>Annual platform / subscription fee will be charge on quarterly basis along with applicable GST</small> | |
| Delivery Instruction Slip / CMR | First CMR / DIS request is free post that Rs. 50/- + GST |
| Cheque Bounce/Cancellation/Return | Rs. 1000/- per Bounce + GST |
| Physical Contract note and courier delivery charges | Rs. 50/- per contract note + applicable courier charges |
| Net Banking charges | Rs. 10/- per transaction + GST |

Terms and Conditions




- SEBI Turnover Fees, STT, CTT, Goods and Service Tax (GST), Risk Management fees, Stamp Duty, & Transaction Charges will be levied separately from brokerage as applicable from time to time.
- In addition to the mentioned above, other charges levied on account of Issue of Duplicate Statements, Interest Charges etc. may be recovered from your account.
- Bajaj Financial Securities Limited reserves the rights to change the brokerage as well as other tariff from time to time under intimation to client.
- Client is required to maintain an *adequate* margin with the Bajaj Financial Securities Limited. Client is required to maintain minimum of 50% margin in the form of cash of the overall margin requirement. Any shortfall in non-maintenance of 50% cash margin will result in interest being charged to client. Bajaj Financial Securities Limited can charge the interest under DPC or any other header at its sole discretion.
- Delay payment charges (DPC) at the rate of 24% per annum will be applicable in case if the account is in debit balance DPC will be levied on a monthly basis.
- MTF interest rate (if opted for) 0.05% per day and interest will be levied on a monthly basis.
- If the contract note is not delivered, a Secure link to download the contract note will be sent via WhatsApp
- Call and Trade Charges applicable at the rate of Rs. 20 per executed order. Call and Trade Charges includes RMS square off Charges.

DEPOSITORY TARIFF SHEET

| Particulars | BFSLMAC |
|----------------------------------|---|
| Account Opening | NIL |
| Annual Maintenance Charges | NIL |
| Early Pay-in Charges | Rs. 35 OR 0.0002% whichever is higher |
| Off Market Transfer within BFSL | Rs. 50 OR 0.0002% whichever is higher |
| Off Market Transfer outside BFSL | Rs. 50 OR 0.02% whichever is higher |
| Dematerialisation | Rs. 100/- Per Request + Rs. 100/- per certificate |
| Rematerialisation | Rs. 35 per certificate or per 100 shares and part there of whichever is higher and Rs. 25 per re-state of statement of account redemption + GST |
| Pledge | Rs. 40 |
| Unpledge | Rs. 40 |
| Invocation | Rs. 50 |
| Margin Pledge | Rs. 20 |
| Margin Unpledge / Invocation | Rs. 20 |
| MTF Pledge | Rs. 25 |
| MTF Unpledge / Invocation | Rs. 25 |
| *All charges excludng GST. | |

- The first year, Annual platform / subscription fee will be charged on pro rata basis from the month of account opening. Subsequently. It will be charged annually in the month of April for next financial year.
- In case the account is closed during the year, AMC for the remaining quarter ending upto December will be refunded to the client.
- Rates are subject to revision from CDSL/NSDL. DP reserves the right to amend the tariff at any given point of time. Goods and Service Tax (GST) will be charged extra as per regulation.
- Minimum Brokerage per order of Rs. 25 (Subject to maximum of 2.5%) or maximum slab as per regulatory guide line will be levied.
- Rs.50/- will be charged to client for new DIS book.
- Rs.50/- will be charged toward per Demat rejection case.
- Currently, I/We want to open regular Demat account. I/We aware that my/our regular Demat account will be converted to BSDA as per SEBI circular No. CIR/MRD/DP/20/2015 dated 11thDecember 2015 at the end of billing cycle if the same is eligible for the BSDA as per SEBI guideline. Despite this, in subsequent years, I/We hereby request you to allow me/us to continue the said demat account under normal scheme as availed by me/us at the time of Account Opening/Modified (if any) to avail regular DP services. If I/we wish to convert the said demat account to BSDA, I/we shall intimate the same to Bajaj Financial Securities Limited.
- I/we are aware of BSDA (Basic Service Demat Scheme) facility as per SEBI Circular No. CIR/MRD/DP/22/2012 dated 27th August 2012. If I wish to open the Demat account under BSDA scheme then I/We will make a separate request to avail the same services.
- BSDA scheme is applicable only for Individual clients.
- Bajaj Financial Securities Limited reserves the rights to change the Brokerage as well as other tariff from time to time under intimation to client. You may check the prevailing rate applicable to you through your secured client login.
- Bajaj Financial Securities Limited reserves the right to freeze Demat account for debit transactions in case account holder(s) fail to payservice charges by due date mentioned in bills or do not maintain adequate balance in Account for the same as the casemay be. There will be charge applicable to the tune of Rs. _____ for resumption of services. The services will be resumedafter a minimum of _____ days from the date of receipt of request received at a Depository's (CDSL/NSDL) office.
- Details of your secured login will be given in Welcome Letter/email send to you upon activation of your account with Bajaj Financial Securities Limited.
- I/We authorise Bajaj Financial Securities Limited to collect my/our DP charges from my trading account.
- For Electronic Delivery through MCX / NCDEX client need to open separate respective COMRIS / COMTRACK / NERL / CCRL /EDCM account with participant. Separate charges with applicable taxes if any will be debited in client's ledger account
- In case of intra day transaction in Security/ Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
- Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.
- Physical Contract note delivery charges Rs.50 +GST (In case of bounced Contract note on email id /Whats app)

I declare that I have read, understood & agreed for the tariff charges and T&C's mentioned above.

| Signed for and on behalf of | 1st Holder Signature | 2nd Holder Signature | 3rd Holder Signature |
|-----------------------------|---|---|---|
| Signature |  |  |  |

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