

Form No. MGT-7**Annual Return (other than OPCs and Small Companies)**

[Pursuant to sub-section (1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

All fields marked in * are mandatory

Refer instruction kit for filing the form



Form language

☒ English ☐ Hindi**I REGISTRATION AND OTHER DETAILS**

i *Corporate Identity Number (CIN)

U67120PN2010PLC136026

ii (a) *Financial year for which the annual return is being filed (From date) (DD/MM/YYYY)

01/04/2025

(b) *Financial year for which the annual return is being filed (To date) (DD/MM/YYYY)

31/03/2026

(c) *Type of Annual filing

☒ Original☐ Revised

(d) SRN of MGT-7 filed earlier for the same financial years

iii

Particulars	As on filing date	As on the financial year end date
Name of the company	BAJAJ FINANCIAL SECURITIES LIMITED	BAJAJ FINANCIAL SECURITIES LIMITED
Registered office address	Bajaj Auto Ltd complex Mumbai - Pune Road Akurdi,NA,Pune,Pune,Maharashtra,India,411035	Bajaj Auto Ltd complex Mumbai - Pune Road Akurdi,NA,Pune,Pune,Maharashtra,India,411035
Latitude details	18.664304	18.664304
Longitude details	73.785971	73.785971

(a) *Photograph of the registered office of the Company showing external building and name prominently visible

Registered Office.pdf

(b) *Permanent Account Number (PAN) of the company

AA*****3B

(c) *e-mail ID of the company

*****umar.dugar@bajajfinserv.in

(d) *Telephone number with STD code

02*****44

(e) Website

<https://www.bajajbroking.in/>

iv *Date of Incorporation (DD/MM/YYYY)

07/04/2010

v (a) *Class of Company (as on the financial year end date)
(Private company/Public Company/One Person Company)

Public company

(b) *Category of the Company (as on the financial year end date)
(Company limited by shares/Company limited by guarantee/Unlimited company)

Company limited by shares

(c) *Sub-category of the Company (as on the financial year end date)
(Indian Non-Government company/Union Government Company/State Government Company/
Guarantee and association company/Subsidiary of Foreign Company)

Indian Non-Government company

vi *Whether company is having share capital (as on the financial year end date)

☒ Yes

☐ No

vii (a) Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code

viii Number of Registrar and Transfer Agent

1

CIN of the Registrar and Transfer Agent	Name of the Registrar and Transfer Agent	Registered office address of the Registrar and Transfer Agents	SEBI registration number of Registrar and Transfer Agent
U72400MH2004PLC147094	NSDL DATABASE MANAGEMENT LIMITED	4th Floor, Tower 3, One International Center, Senapati Bapat Marg, Prabhadevi, Mumbai - 400 013, Mumbai, Mumbai, Maharashtra, India, 400013	INR00004181

ix * (a) Whether Annual General Meeting (AGM) held

☒ Yes

☐ No

(b) If yes, date of AGM (DD/MM/YYYY)

15/06/2026

(c) Due date of AGM (DD/MM/YYYY)

30/09/2026

(d) Whether any extension for AGM granted

☐ Yes

☒ No

(e) If yes, provide the Service Request Number (SRN) of the GNL-1 application form filed for extension

(f) Extended due date of AGM after grant of extension (DD/MM/YYYY)

(g) Specify the reasons for not holding the same

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

i *Number of business activities

1

S. No.	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance activities	66	Other financial activities	100

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

i *No. of Companies for which information is to be given

2

S. No.	CIN /FCRN	Other registration number	Name of the company	Holding/ Subsidiary/Associate/Jo int Venture	% of shares held
1	L65923PN2007PLC130075		BAJAJ FINSERV LIMITED	Holding	0
2	L65910MH1987PLC042961		BAJAJ FINANCE LIMITED	Holding	100

IV SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

i SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	2000000000.00	1400412917.00	1400412917.00	1400412917.00
Total amount of equity shares (in rupees)	20000000000.00	14004129170.00	14004129170.00	14004129170.00

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Equity				
Number of equity shares	2000000000	1400412917	1400412917	1400412917
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	20000000000.00	14004129170.00	14004129170	14004129170

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0.00	0.00	0.00	0.00
Total amount of preference shares (in rupees)	0.00	0.00	0.00	0.00

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
(i) Equity shares						
At the beginning of the year	600	967759785	967760385.00	9677603850	9677603850	
Increase during the year	0.00	432652532.00	432652532.00	4326525320.00	4326525320.00	1673474634.00
i Public Issues	0	0	0.00	0	0	
ii Rights issue	0	432652532	432652532.00	4326525320	4326525320	1673474634
iii Bonus issue	0	0	0.00	0	0	
iv Private Placement/ Preferential allotment	0	0	0.00	0	0	
v ESOPs	0	0	0.00	0	0	
vi Sweat equity shares allotted	0	0	0.00	0	0	
vii Conversion of Preference share	0	0	0.00	0	0	
viii Conversion of Debentures	0	0	0.00	0	0	
ix GDRs/ADRs	0	0	0.00	0	0	
x Others, specify 						
Decrease during the year	0.00	0.00	0.00	0.00	0.00	0
i Buy-back of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify 			0			
At the end of the year	600.00	1400412317.00	1400412917.00	14004129170.00	14004129170.00	
(ii) Preference shares						
At the beginning of the year	0	0	0.00	0	0	
Increase during the year	0.00	0.00	0.00	0.00	0.00	0

Particulars	Number of shares			Total Nominal Amount	Total Paid-up amount	Total premium
i Issues of shares	0	0	0.00	0	0	
ii Re-issue of forfeited shares	0	0	0.00	0	0	
iii Others, specify 			0			
Decrease during the year	0.00	0.00	0.00	0.00	0.00	0
i Redemption of shares	0	0	0.00	0	0	
ii Shares forfeited	0	0	0.00	0	0	
iii Reduction of share capital	0	0	0.00	0	0	
iv Others, specify 			0			
At the end of the year	0.00	0.00	0.00	0.00	0.00	

ISIN of the equity shares of the company

INE01C301012

ii Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		
Before split / Consolidation	Number of shares	
	Face value per share	
After split / consolidation	Number of shares	
	Face value per share	

iii Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company)

☒ Nil

Number of transfers

Attachments:

1. Details of shares/Debentures Transfers

iv Debentures (Outstanding as at the end of financial year)

(a) Non-convertible debentures

*Number of classes

0

Classes of non-convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of non-convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(b) Partly convertible debentures

*Number of classes

0

Classes of partly convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of partly convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(c) Fully convertible debentures

*Number of classes

0

Classes of fully convertible debentures	Number of units	Nominal value per unit	Total value (Outstanding at the end of the year)
Total			

Classes of fully convertible debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Total				

(d) Summary of Indebtedness

Particulars	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0.00	0.00	0.00	0.00
Partly convertible debentures	0.00	0.00	0.00	0.00
Fully convertible debentures	0.00	0.00	0.00	0.00
Total	0.00	0.00	0.00	0.00

v Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V Turnover and net worth of the company (as defined in the Companies Act, 2013)

i *Turnover

10696360507

ii * Net worth of the Company

20859737077

VI SHARE HOLDING PATTERN**A Promoters**

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	0	0.00	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	1400412917	100.00	0	0.00

10	Others 		0		0
	Total	1400412917.00	100	0.00	0

Total number of shareholders (promoters)

7

B Public/Other than promoters

S. No	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1	Individual/Hindu Undivided Family				
	(i) Indian	0	0.00	0	0.00
	(ii) Non-resident Indian (NRI)	0	0.00	0	0.00
	(iii) Foreign national (other than NRI)	0	0.00	0	0.00
2	Government				
	(i) Central Government	0	0.00	0	0.00
	(ii) State Government	0	0.00	0	0.00
	(iii) Government companies	0	0.00	0	0.00
3	Insurance companies	0	0.00	0	0.00
4	Banks	0	0.00	0	0.00
5	Financial institutions	0	0.00	0	0.00
6	Foreign institutional investors	0	0.00	0	0.00
7	Mutual funds	0	0.00	0	0.00
8	Venture capital	0	0.00	0	0.00
9	Body corporate (not mentioned above)	0	0.00	0	0.00

10	Others 	0	0.00	0	0.00
	Total	0.00	0	0.00	0

Total number of shareholders (other than promoters)

0

Total number of shareholders (Promoters + Public/Other than promoters)

7.00

Breakup of total number of shareholders (Promoters + Other than promoters)

Sl.No	Category	
1	Individual - Female	0
2	Individual - Male	6
3	Individual - Transgender	0
4	Other than individuals	1
	Total	7.00

C Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

VII NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

[Details of Promoters, Members (other than promoters), Debenture holders]

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A Promoter	0	0	0	0	0	0
B Non-Promoter	1	3	2	3	0.00	0.00
i Non-Independent	1	2	2	2	0	0
ii Independent	0	1	0	1	0	0
C Nominee Directors representing	0	0	0	0	0.00	0.00
i. Banks and FIs	0	0	0	0	0	0
ii Investing institutions	0	0	0	0	0	0
iii Government	0	0	0	0	0	0
iv Small share holders	0	0	0	0	0	0
v Others						
Total	1	3	2	3	0.00	0.00

*Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

B (i) Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity shares held	Date of cessation (after closure of financial year : If any) (DD/MM/YYYY)
MANISH KUMAR JAIN	06413162	Managing Director	0	
SREENIVASAN SIVASUBRAMONIAM	03206811	Director	100	
RAKESH INDUPRASAD BHATT	02531541	Director	0	
PRATIK JASANI	11505136	Additional Director	0	
JASMINE ARISH CHANEY	07082359	Director	0	

RAVIKUMAR SURESH KUMAR DUGAR	BEMPD6036C	Company Secretary	0	
PRATIK JASANI	AGZPJ6073B	CFO	0	

B (ii) *Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation (DD/MM/YYYY)	Nature of change (Appointment/ Change in designation/ Cessation)
PRATIK JASANI	11505136	CFO	01/02/2026	Appointment
RAKESH INDUPRASAD BHATT	02531541	Additional Director	23/07/2025	Change in designation

IX MEETINGS OF MEMBERS/CLASS OF MEMBERS/ BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

*Number of meetings held

3

Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	23/07/2025	7	6	100
Extraordinary General Meeting	22/10/2025	7	6	100
Extraordinary General Meeting	03/02/2026	7	6	100

B BOARD MEETINGS

*Number of meetings held

6

S.No	Date of meeting (DD/MM/YYYY)	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/04/2025	4	4	100

2	18/07/2025	4	4	100
3	11/09/2025	4	4	100
4	17/10/2025	4	4	100
5	03/11/2025	4	3	75
6	29/01/2026	4	4	100

C COMMITTEE MEETINGS

Number of meetings held

5

S.No	Type of meeting	Date of meeting (DD/MM/YYYY)	Total Number of Members as on the date of meeting	Attendance	
				Number of members attended	% of attendance
1	Corporate Social Responsibility Committee	18/07/2025	3	3	100
2	Internal Technology Committee	14/04/2025	8	8	100
3	Internal Technology Committee	24/06/2025	8	8	100
4	Internal Technology Committee	22/09/2025	8	7	87.5
5	Internal Technology Committee	18/12/2025	8	8	100

D ATTENDANCE OF DIRECTORS

S. N o	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attenda nce	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attend ance	
								15/06/2026 (Y/N/NA)
1	MANISH KUMAR JAIN	6	6	100	0	0	0	Yes
2	SREENIVASAN SIVASUBRAMONIAM	6	6	100	1	1	100	Yes

3	RAKESH INDUPRASAD BHATT	6	5	83	1	1	100	Yes
4	PRATIK JASANI	0	0	0	0	0	0	Yes
5	JASMINE ARISH CHANEY	6	6	100	1	1	100	Yes

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

A *Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
	Total		0.00	0.00	0.00	0.00	0.00

B *Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
1	Pratik Jasani	CFO	15499100			5424685	20923785.00
	Total		15499100.00	0.00	0.00	5424685.00	20923785.00

C *Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total amount
	Total		0.00	0.00	0.00	0.00	0.00

XI MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year

☒ Yes

☐ No

B If No, give reasons/observations

XII PENALTY AND PUNISHMENT – DETAILS THEREOF

A *DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/
DIRECTORS/OFFICERS

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

B *DETAILS OF COMPOUNDING OF OFFENCES

☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order (DD/MM/YYYY)	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

XIII Details of Shareholder / Debenture holder

Number of shareholder/ debenture holder

7

XIV Attachments

(a) List of share holders, debenture holders

Details of Shareholder or
Debenture holder.xlsm

(b) Optional Attachment(s), if any

Clarification Note BFSL MGT-7.pdf

XV COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

I/ We have examined the registers, records and books and papers of

BAJAJ FINANCIAL SECURITIES LIMITED

 as required to be

maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on

(DD/MM/YYYY)

31/03/2026

In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

A The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

- 1 its status under the Act;
- 2 maintenance of registers/records & making entries therein within the time prescribed therefor;
- 3 filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
- 4 calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
- 5 closure of Register of Members / Security holders, as the case may be.
- 6 advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
- 7 contracts/arrangements with related parties as specified in section 188 of the Act;
- 8 issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;
- 9 keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act
- 10 declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
- 11 signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
- 12 constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
- 13 appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
- 14 approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
- 15 acceptance/ renewal/ repayment of deposits;
- 16 borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17 loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the

provisions of section 186 of the Act ;
18 alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

To be digitally signed by

Name

Shyamprasad Limaye

Date (DD/MM/YYYY)

24/06/2026

Place

Pune

Whether associate or fellow:

☐ Associate

☒ Fellow

Certificate of practice number

5*2

XVI Declaration under Rule 9(4) of the Companies (Management and Administration) Rules, 2014

*(a) DIN/PAN/Membership number of Designated Person

39275

*(b) Name of the Designated Person

RAVIKUMAR SURESH KUMAR
DUGAR

Declaration

I am authorised by the Board of Directors of the Company vide resolution number* 10 dated*

(DD/MM/YYYY) 30/08/2018 to sign this form and declare that all the requirements of Companies Act, 2013

and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1 Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.

2 All the required attachments have been completely and legibly attached to this form.

*To be digitally signed by

*Designation

(Director /Liquidator/ Interim Resolution Professional (IRP)/Resolution Professional (RP))

Director

*DIN of the Director; or PAN of the Interim Resolution Professional (IRP) or Resolution Professional (RP) or Liquidator

0*4*3*6*

***To be digitally signed by**

☒ Company Secretary ☐ Company secretary in practice

***Whether associate or fellow:**

☒ Associate ☐ Fellow

Membership number

3*2*5

Certificate of practice number

Note: Attention is drawn to provisions of Section 448 and 449 of the Companies Act, 2013 which provide for punishment for false statement / certificate and punishment for false evidence respectively.

For office use only:

eForm Service request number (SRN)

AC4176278

eForm filing date (DD/MM/YYYY)

24/06/2026

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

To
Registrar of Companies

Dear Sirs,

Following clarifications for e-form MGT-7 be noted: -

1. II - PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY- NUMBER OF BUSINESS ACTIVITIES:

It is hereby clarified that under the Main Activity Group Code – K, which pertains to Financial and Insurance Activities, The Company has selected Code 66 (Other Financial Activities), as the Company is primarily engaged in broking business activities.

It may further be noted that in the previous year's Form MGT-7 filing, the Company had selected the same Main Activity Group Code – K, under the Main Activity Group – Financial and Insurance Services, with the business activity description as: "Financial Advisory, Brokerage and Consultancy Services."

This selection remains consistent with the nature of the Company's business.

2. Point III - PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

No. of Companies for which information is to be given:

Sr. No	Name of the Company	CIN/FCRN	Holding/Subsidiary /Associate/Joint Venture	% of shares held
1	Bajaj Finance Limited	L65910MH1987PLC042961	Holding	100
2	Bajaj Finserv Limited*	L65923PN2007PLC130075	Holding	0*

*Holds 51.32% shares in Bajaj Finance Limited as on 31 March 2026

3. VI - SHARE HOLDING PATTERN- A PROMOTERS POINT 9

600 shares jointly hold by individuals (100 each) with Bajaj Finance Limited (Holding Company) in the company for the purpose of ensuring minimum membership as prescribed under Companies Act, 2013.

4. VIII A & B DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL - B (ii) *Particulars of change in director(s) and Key managerial personnel during the year

"Mr. Pratik Jasani has been appointed as Whole-time Director (DIN 11505136) with effect from 01 February 2026; however, the same is yet to be updated on the MCA portal, and a ticket has been raised in this regard. The sequence of events is as follows:"

Date of Event	Event
03/02/2026	EGM, Approval from shareholders to appoint Pratik Jasani as Whole Time Director (WTD) Bajaj Financial Securities Limited

Corporate Office: Bajaj Financial Securities Limited,
1st floor, Unit No. 9, B-Wing, Mantri IT Park,
Viman Nagar, Pune - 411 014, Maharashtra, India

Registered Office: C/o Bajaj Auto Limited complex,
Mumbai - Pune Road, Akurdi, Pune - 411 035,
Maharashtra, India

Tel: 020 - 4857 4444

Email: connect@bajajbroking.in

Website: www.bajajbroking.in



Corporate ID: U67120PN2010PLC136026
SEBI Reg. No. (Trading): INZ000218931
BSE Cash/F&O (Member ID: 6706)
NSE Cash/F&O (Member ID: 90177)
POP: 325022021
SEBI Reg. No. (DP): IN-DP-418-2019
CDSL: 12088600 | **NSDL:** IN304300
AMFI: 163403 | **SEBI (RA):** INH000010043

01/02/2026	Appointed as WTD w.e.f. 01/02/2026.
24/02/2026	DIR-12 form filed with ROC, with SRN AC2439253

"Secondly, Mr. Pratik Jasani serves in a dual capacity as Chief Financial Officer (Key Managerial Personnel) and Whole-time Director of the Company.

It is clarified that Mr. Pratik Jasani, who was serving as the CFO in the previous year, has been additionally appointed as Whole-time Director with effect from 01 February 2026, as approved by the shareholders at the Extra-ordinary General Meeting held on 03 February 2026."

Further In point X – Remuneration of Directors and Key Managerial Personnel, the remuneration of Mr. Pratik Jasani (CFO and WTD) has been disclosed as a single consolidated record under the designation of CFO, since the remuneration pertains to his combined role as CFO and Whole-time Director."

5. POINT VIII B(I)- DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL AS ON THE CLOSURE OF FINANCIAL YEAR - NUMBER OF EQUITY SHARES HELD

S Sreenivasan, Non- Executive director of the company holds 100 shares jointly with Bajaj Finance Limited (Holding Company) in the company for the purpose of ensuring minimum membership as prescribed under Companies Act, 2013.

6. Point IX A- MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

In respect of Bajaj Finance Limited (Holding Company), the Company had received a resolution under section 113 of the Companies Act, for attendance at meeting.

7. Point IX D- ATTENDANCE OF DIRECTORS

Since Pratik Jasani was appointed as Whole-Time Director with effect from 01/02/2026 and no Board Meeting was held after his appointment, his attendance is reflected as 0% in the form.

8. POINT C UNDER SR. NO IX(C)- COMMITTEE MEETINGS

- i. As per Rule 6 of the Companies (Meetings of Board and its Power) Rules, 2014, read with Rule 4(2) the Companies (Appointment and Qualification of Directors) Rules, 2014, the following class of unlisted public company shall not be required to constitute Audit Committee and Nomination and Remuneration Committee:

- (a) a joint venture;
- (b) a wholly owned subsidiary; and
- (c) a dormant company as defined under section 455 of the Act

Accordingly, the Company, being a wholly owned subsidiary of Bajaj Finance Limited, is exempt from constituting the said Committees.

9. Point X B Number of CEO, CFO and Company secretary whose remuneration

Bajaj Financial Securities Limited

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Pratik Jasani, Whole Time Director of the Company receives ESOPs from the holding company, Bajaj Finance Limited. Therefore, the same is not included under the remuneration details in this section of the form.

Similarly, Manish Jain, Managing Director, and Ravikumar Dugar, Company Secretary, also receive their remuneration from the holding company, Bajaj Finance Limited and therefore no details are mentioned in the form."

10. Point XVI - Declaration under rule 9(4) of the Companies (Management and Administration) Rules, 2014

DETAILS OF DESIGNATED PERSON:

The Board, at its 75th meeting held on January 24, 2024, designated Mr. Ravikumar Dugar, Company Secretary, as the Designated Person in accordance with the above rule. Accordingly, his membership number has been entered in field (a) – 'DIN/PAN/Membership number of the Designated Person'. However, since the details of the Company Secretary were not pre-filled in field (b) – 'Name of the Designated Person', the relevant information is being provided here."

Declaration under rule 9(4) of the Companies (Management and Administration) Rules, 2014

(a) DIN/PAN/Membership number of Designated Person: **39275**

(b) Name of the Designated Person: **Ravikumar Dugar**

For Bajaj Financial Securities Limited

Ravikumar Dugar
Company Secretary
ACS: 39275
Address: 3rd Floor, Panchshil Tech Park,
Viman Nagar, Pune – 411 014
Place: Pune.

Bajaj Financial Securities Limited

Corporate Office: Bajaj Financial Securities Limited,
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