

BAJAJ FINANCIAL SECURITIES LIMITED

TENTH ANNUAL REPORT 2019-20

Bajaj Financial Securities Limited

CIN: U67120PN2010PLC136026

Regd. Office:

Bajaj Auto Limited Complex,

Mumbai-Pune Road,

Akurdi, Pune 411 035

Phone: (020) -7112 4060

SEBI-INZ000218931 (BSE-6706/NSE-90177);

SEBI-DP-IN-418-2019

NSDL-IN304300; CDSL-12088600

ARN-163403

NOTICE

Notice is hereby given that the tenth annual general meeting of the members of Bajaj Financial Securities Limited will be held on Wednesday, 15 July 2020 at 9.00 a.m. at the registered office of the Company at Bajaj Auto Limited Complex, Mumbai- Pune Road, Akurdi, Pune 411035 to transact the following:

ORDINARY BUSINESS:

1. To consider and adopt the financial statement of the Company for the financial year ended 31 March 2020 together with the Directors' and the Auditors' reports thereon.
2. To appoint a director in place of Babu Rao Priya (DIN 08210342) who retires by rotation in terms of Section 152(6) of the Companies Act, 2013 and, being eligible, offers himself for re-appointment.

SPECIAL BUSINESS:

3. Appointment of Ms. Ajita Kakade as non-executive Director

To consider, and if thought fit, to pass, the following resolution, with or without modifications, as an ordinary resolution

“RESOLVED THAT Ms. Ajita Kakade (DIN 0008742461) appointed by the Board of Directors as an additional director under section 161(1) of the Companies Act, 2013 and who vacates office at this annual general meeting and in respect of whom the Company has, as required by section 160 of the Companies Act, 2013, received a notice in writing, be and is hereby appointed as non-executive director of the Company”

**By order of the Board of Directors
For Bajaj Financial Securities Limited**



**Ravikumar Dugar
Company Secretary
ACS: 39275
Place: Pune
Date: 15 May 2020**

NOTES:

Corporate Office Ext.: Mantri IT Park, Unit-2, Tower-B, Nagar Road, Viman Nagar, Pune-411014

Registered Office: Bajaj Auto Limited Complex, Mumbai-Pune Road, Akurdi, Pune 411035

Website: <https://www.bajajfinservsecurities.in/>

Email ID: connect@bajajfinserv.in

Tel: 020-7112 4060

Corporate ID No.: U67120PN2010PLC1360:

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BAJAJ FINANCIAL SECURITIES LIMITED

NOTES:

- 1) A MEMBER ENTITLED TO ATTEND AND VOTE IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE AT THE MEETING, INSTEAD OF HIMSELF/HERSELF AND THE PROXY NEED NOT BE A MEMBER. A PERSON CAN ACT AS PROXY ON BEHALF OF MEMBERS NOT EXCEEDING FIFTY AND HOLDING IN THE AGGREGATE NOT MORE THAN TEN PERCENT OF THE TOTAL SHARE CAPITAL OF THE COMPANY CARRYING VOTING RIGHTS. PROVIDED THAT A MEMBER HOLDING MORE THAN TEN PERCENT OF THE TOTAL SHARE CAPITAL OF THE COMPANY CARRYING VOTING RIGHTS MAY APPOINT A SINGLE PERSON AS PROXY AND SUCH PERSON SHALL NOT ACT AS PROXY FOR ANY OTHER PERSON OR MEMBER. THE INSTRUMENT APPOINTING PROXY MUST BE DEPOSITED AT THE REGISTERED OFFICE OF THE COMPANY NOT LESS THAN 48 HOURS BEFORE THE TIME OF HOLDING THE MEETING.
- 2) During the period beginning 24 hours before the time fixed for the commencement of the meeting and ending with the conclusion of the meeting, members would be entitled to inspect the proxies lodged, at any time during the business hours of the Company, provided not less than 3 days' written notice is given to the Company.
- 3) Statement pursuant to section 102(1) of the Companies Act, 2013 (the 'Act') forms part of this notice.
- 4) Brief details of the director who is seeking appointment are annexed hereto as per requirements of the Act.
- 5) Documents referred to in the notice and the statement shall be kept open for inspection by the members at the registered office of the Company from Monday to Friday during 10.00 a.m. to 12.30 p.m., except holidays, upto the date of the meeting and also at the meeting.
- 6) The Notice of AGM is available on the Company's website <https://www.bajajfinservsecurities.in/download>
- 7) The following statutory registers are open for inspection by members and others at the registered office and corporate office of the Company as prescribed in the respective sections of the Companies Act, 2013 as specified below on all working days during business hours:
 - (a) Register of contracts or arrangements in which directors are interested under Section 301 of the Companies Act, 1956 and Register of contracts with related party and contracts and bodies etc. in which directors are interested under Section 189 of the Companies Act, 2013.
 - (b) Register of directors' shareholdings under Section 307 of the Companies Act, 1956 and Register of directors and key managerial personnel and their shareholding under Section 170 of the Companies Act, 2013.The aforesaid registers shall also be kept open for inspection at the annual general meeting by any person entitled to attend the meeting.
- 8) Corporate members are requested to send in advance duly certified copy of board resolution/power of attorney authorising their representative to attend the annual general meeting.

BAJAJ FINANCIAL SECURITIES LIMITED

- 9) Members/ proxies are requested to bring the attendance slip/proxy form duly filled and signed in for attending the Meeting. Proxies are requested to bring their ID proof at the meeting for the purpose of identification.
- 10) At the AGM, a resolution put to the vote at the meeting shall, unless a poll is demanded under section 109 of the Act shall be decided on a show of hands.

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Tel: 020-7112 4060
Corporate ID No.: U67120PN2010PLC13602
SEBI-INZ000218931 (BSE-6706/NSE-90177);
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BAJAJ FINANCIAL SECURITIES LIMITED

STATEMENT UNDER SECTION 102 OF THE ACT

Item no. 3 relating to appointment of directors

The Board of Directors, at their meeting held on 15 May 2020 appointed Ms. Ajita Kakade as additional director of the Company. Pursuant to section 161 of the Companies Act, 2013, she holds office up to the conclusion of this annual general meeting and is eligible for appointment.

The Company has received a notice under section 160 of the Act proposing candidature Ms. Ajita Kakade as director of the Company.

The said director has consented to act as director and has confirmed that she is not disqualified from being appointed as director of the Company.

None of the directors, other than director proposed to be appointed, key managerial personnel of the Company or their relatives are, directly or indirectly concerned or interested, financially or otherwise in the resolution, except to the extent of their respective shareholding, if any, in the Company.

The Board recommends passing of the ordinary resolutions set out in item nos. 3 of this notice.

Brief Resume:

Ajita has been with Bajaj Finance Limited for over 9 years and was Head – Business HR , Employee Engagement and HR Ops. In her last role, she has been pivotal in customizing the HR employee engagement model and has managed employee engagement across the company by working closely with Group Business Heads and Business heads. Previously she ran different roles which include consumer operations and customer experience.

Other information about the appointee:

Ms. Ajita Kakade is not related to any of the directors or key managerial personnel of the Company. She does not hold any shares in the Company

She was first appointed on the Board of the Company on 15 May 2020. She will be eligible for payment of sitting fees and commission, as payable to other non-executive directors of the Company, if any, as decided by the Board. She is not a director in any other Company.

**By order of the Board of Directors
For Bajaj Financial Securities Limited**



Ravikumar Dugar
Company Secretary
ACS: 39275
Place: Pune
Date: 15 May 2020

BAJAJ FINANCIAL SECURITIES LIMITED

PROXYFORM

Form No. MGT-11

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014]

CIN : U67120PN2010PLC136026
Name of the Company : BAJAJ FINANCIAL SECURITIES LIMITED
Registered office : Bajaj Auto Limited Complex, Mumbai-Pune Road, Akurdi, Pune 411035
Name of the member(s) :
Registered address :
E-mail ID :
Folio No/Client ID/DP ID :

I/We, being the member(s) of _____ shares of the above named company, hereby appoint:

(1) Name: _____ Address: _____
E-mail Id: _____ Signature: _____ or failing him

(2) Name: _____ Address: _____
E-mail Id: _____ Signature: _____ or failing him

(3) Name: _____ Address: _____
E-mail Id: _____ Signature: _____

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the tenth annual general meeting of the Company, to be held on Wednesday, 15 July 2020 at 9.00 a.m. at 6th Floor, Bajaj Finserv Corporate Office, Off Pune -Ahmednagar Road, Viman Nagar, Pune - 411 014 and at any adjournment thereof in respect of such resolutions as are indicated below:

	Ordinary Business
1	Adoption of financial statements for the year ended 31 March 2020 together with the Directors' and Auditors' Reports thereon
2	Re-appointment of Babu Rao Priya (DIN: 08210342) who retires by rotation
	Special Business
3	Appointment of Ms. Ajita Kakade as non-executive Director

Signed this ___ day of _____ 2020



Signature of member

Signature of Proxy holder(s)

Note:

1. This form of proxy in order to be effective should be duly completed and deposited at the registered office of the Company, not less than 48 hours before the commencement of the Meeting.
2. Notwithstanding the above the proxies can vote on such other items which may be tabled at the meeting by the members present

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ATTENDANCE SLIP

Folio No./DP ID Client ID:

Name and address:

Name(s) of joint holder(s),if any :

No. of shares held :

I/we certify that I/we am/are member(s)/proxy for the member(s) of the Company.

I/We hereby record my/our presence at the tenth annual general meeting of the Company on Wednesday, 15 July 2020 at 9.00 a.m. at 6th Floor, Bajaj Finserv Corporate Office, Off Pune - Ahmednagar Road, Viman Nagar, Pune - 411 014

Full name of proxy (in case of proxy)
holder/proxy

Signature of first

Signature of joint holder(s)

Notes:

1. Please fill and sign this attendance slip and hand it over at the venue of the meeting.
2. Only members of the Company and/or their proxy will be allowed to attend the meeting.