# Form No. MGT-9 EXTRACT OF ANNUAL RETURN

As on 31 March 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

### I. REGISTRATION AND OTHER DETAILS:

Sr. No.	Particulars	Details
1	CIN	U67120PN2010PLC136026
2	Registration date	07/04/2010
3	Name of the Company	Bajaj Financial Securities Limited
4	Category/ Sub-category of the	Public Company, Limited by shares
	Company	
5	Address of the registered office	Bajaj Auto Limited Complex, Mumbai-Pune Road, Akurdi, Pune- 411035
	and contact details	Tel: 020 3018 6403
6	Whether listed company	No
7	Name, Address and Contact	NA
	details of the Registrar and	
	Transfer Agent, if any	

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company	
NIL	NIL	NIL	NIL	

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

Sr.	Name and address of the company	CIN/GLN	Holding/ Subsidiary/	% of shares held	Applicable
No.			Associate		section
1	Bajaj Finance Limited Address: Akurdi, Pune 411035	L65910MH1987PLC042961	Holding	100	Section 2(46)
2	Bajaj Finserv Limited	U65910PN2008PLC132228	Holding	54.99	Section 2(46)

Address:		
Bajaj Auto Ltd. Complex,		
Mumbai - Pune Road,		
Akurdi - 411 035		

# IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

## i) Category-wise share holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2018]				No. of Shares held at the end of the year [As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	0	0	0	0	0	0	0	0	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corp.	0	14,000,000	14,000,000	100	0	14,000,000	14,000,000	100	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any other	0	0	0	0	0	0	0	0	0
sub-total (A) (1)	0	14,000,000	14,000,000	100	0	14,000,000	14,000,000	100	0
(2) Foriegn									
a) NRIs-Individuals	0	0	0	0	0	0	0	0	0
b) Other-Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0

e) Any other	0	0	0	0	0	0	0	0	0
Sub-total (A) (2)	0	0	0	0	0	0	0	0	0
Total shareholding of promoters and promoters group $(A)=(A)(1)+(A)(2)$	0	14,000,000	14,000,000	100	0	14,000,000	14,000,000	100	0
B. Public Shareholding (1) Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1)	0	0	0	0	0	0	0	0	0
(2) Non-Institutions									
a) Bodies Corp.	0	0	0	0	0	0	0	0	0
i) Indian	0	0	0	0	0	0	0	0	0

ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals	0	0	0	0	0	0	0	0	0
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	0	0	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0	0	0	0	0	0
c) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(2)	0	0	0	0	0	0	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)	0	0	0	0	0	0	0	0	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	0	14,000,000	14,000,000	100	0	14,000,000	14,000,000	100	0

# ii) Shareholding of Promoters

Sr. No.	Shareholder's Name				Shareholding at (31 March 2019)			
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in shareholding during the year
1.	Bajaj Housing Finance Limited	*14,000,000	100	0	14,000,000	100	0	0
	Total	14,000,000	100	0	14,000,000	100	0	0

Note: \*Out of the total number of shares held, 600 shares are held jointly (100 shares jointly with six individuals each)

- iii) Change in Promoters' Shareholding (please specify, if there is no change)- No change
- iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs): There are no shareholders of the Company apart from Promoters.
- v) Shareholding of Directors and Key Managerial Personnel:

Sr.		Shareholding at the b	eginning of the	Cumulative Shareholding	
No.		year (1 April 2018)		during the year	
	For each the Directors and Key Managerial Personnel	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
(i)	Shri J Sridhar (Director) jointly with Bajaj Housing Finance Limited				
	At the beginning of the year	100	0.00	100	0.00
	At the end of the year	100	0.00		

#### V. INDEBTEDNESS: NIL

### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

- A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS AND/OR MANAGER: NIL
- B. REMUNERATION TO OTHER DIRECTORS: NIL
- C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD: NIL

#### VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL