To,

Bajaj Financial Securities Limited
Unit 2, Tower B, Second Floor,
Mantri IT Park, Opposite Inorbit Mall,
Nagar Road, Viman Nagar,Pune,411014

Date:

Dear Sir/Madam,

This is in regards with my/us trading account allotted in the name of___________________, with Client Code/ UCC Code__________________by Bajaj Financial Securities Limited.

Requested to kindly activate the following segment/exchange that has been signed across in addition to the segment/exchange opted earlier by me/us.

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE, BSE				MCX /NCDEX/BSE/NSE
All Segment	Cash / Mutual Fund	F&O	Currency	SLBM	Commodity Derivatives

If you do not wish to trade in any of segment / mutual fund, please mention here. ________________________

I/We further confirm having read and understood the below terms and conditions

1. Brokerage and other charges in the selected segment of the Exchange shall be charged as per attached brokerage sheet.
2. In case of Non-Individual Account, We have also enclosed herewith the certified true copy of Board trading (Corporate/Trust)/Authority Letter (Partnership Firm) for on above mentioned segment/Exchange.
3. If the user is opting for Equity F&O, I/We have enclosed any one of the following documents (tick one which has been submitted):
   a. ☐ Copy of ITR Acknowledgement
   b. ☐ Copy of annual accounts
   c. ☐ Salary Income - Salary slip, copy of Form 16
   d. ☐ Net Worth certificate
   e. ☐ Copy of Demat Account Holdings statement
   f. ☐ Bank account statement for last 6 months

Thanking you,

Client Signature

Signature and Stamp for Non-Individual Client

TARIFF SHEET (INDIVIDUAL)

M Mandatory

EQUITY, DERIVATIVE & CURRENCY TRADING TARIFF SHEET

TARIFF STRUCTURE								
Transaction Type	Equity Cash		Equity F & O		Currency		Commodity	
	Intraday	Delivery	Futures	Options (per lot)	Futures	Options (per lot)	Futures	Options (per lot)
Brokerage Rate (%)								

Other Charges

Particulars	Charges
Annual platform / Subscription Fee Annual platform / subscription fee will be charge on quarterly basis along with applicable GST	
Delivery Instruction Slip / CMR	First CMR / DIS request is free post that Rs. 50/- + GST
Cheque Bounce/Cancellation/Return	Rs. 1000/- per Bounce + GST
Physical Contract note and courier delivery charges	Rs. 50/- per contract note + applicable courier charges
Net Banking charges	Rs. 10/- per transaction + GST

Terms and Conditions

- SEBI Turnover Fees, STT, CTT, Goods and Service Tax (GST), Risk Management fees, Stamp Duty, & Transaction Charges will be levied separately from brokerage as applicable from time to time.
- In addition to the mentioned above, other charges levied on account of Issue of Duplicate Statements, Interest Charges etc. may be recovered from your account.
- Bajaj Financial Securities Limited reserves the rights to change the brokerage as well as other tariff from time to time under intimation to client.
- Client is required to maintain an *adequate* margin with the Bajaj Financial Securities Limited. Client is required to maintain minimum of 50% margin in the form of cash of the overall margin requirement. Any shortfall in non-maintenance of 50% cash margin will result in interest being charged to client. Bajaj Financial Securities Limited can charge the interest under DPC or any other header at its sole discretion.
- Delay payment charges (DPC) at the rate of 24% per annum will be applicable in case if the account is in debit balance DPC will be levied on a monthly basis.
- MTF interest rate (if opted for) 0.05% per day and interest will be levied on a monthly basis.
- If the contract note is not delivered, a Secure link to download the contract note will be sent via WhatsApp
- Call and Trade Charges applicable at the rate of Rs. 20 per executed order. Call and Trade Charges includes RMS square off Charges.

DEPOSITORY TARIFF SHEET

Particulars	BFSLMAC
Account Opening	NIL
Annual Maintenance Charges	NIL
Early Pay-in Charges	Rs. 35 OR 0.0002% whichever is higher
Off Market Transfer within BFSL	Rs. 50 OR 0.0002% whichever is higher
Off Market Transfer outside BFSL	Rs. 50 OR 0.02% whichever is higher
Dematerialisation	Rs. 100/- Per Request + Rs. 100/- per certificate
Rematerialisation	Rs. 35 per certificate or per 100 shares and part there of whichever is higher and Rs. 25 per re-state of statement of account redemption + GST
Pledge	Rs. 40
Unpledge	Rs. 40
Invocation	Rs. 50
Margin Pledge	Rs. 20
Margin Unpledge / Invocation	Rs. 20
MTF Pledge	Rs. 25
MTF Unpledge / Invocation	Rs. 25
*All charges exculding GST.	

- The first year, Annual platform / subsription fee will be charged on pro rata basis from the month of account opening. Subsequently. It will be charged annually in the month of April for next financial year.
- In case the account is closed during the year, AMC for the remaining quarter ending upto December will be refunded to the client.
- Rates are subject to revision from CDSL/NSDL. DP reserves the right to amend the tariff at any given point of time. Goods and Service Tax (GST) will be charged extra as per regulation.
- Minimum Brokerage per order of Rs. 25 (Subject to maximum of 2.5%) or maximum slab as per regulatory guide line will be levied.
- Rs.50/- will be charged to client for new DIS book.
- Rs.50/- will be charged toward per Demat rejection case.
- Currently, I/We want to open regular Demat account. I/We aware that my/our regular Demat account will be converted to BSDA as per SEBI circular No. CIR/MRD/DP/20/2015 dated 11thDecember 2015 at the end of billing cycle if the same is eligible for the BSDA as per SEBI guideline. Despite this, in subsequent years, I/We hereby request you to allow me/us to continue the said demat account under normal scheme as availed by me/us at the time of Account Opening/Modified (if any) to avail regular DP services. If I/we wish to convert the said demat account to BSDA, I/we shall intimate the same to Bajaj Financial Securities Limited.
- I/we are aware of BSDA (Basic Service Demat Scheme) facility as per SEBI Circular No. CIR/MRD/DP/22/2012 dated 27th August 2012. If I wish to open the Demat account under BSDA scheme then I/We will make a separate request to avail the same services.
- BSDA scheme is applicable only for Individual clients.
- Bajaj Financial Securities Limited reserves the rights to change the Brokerage as well as other tariff from time to time under intimation to client. You may check the prevailing rate applicable to you through your secured client login.
- Bajaj Financial Securities Limited reserves the right to freeze Demat account for debit transactions in case account holder(s) fail to payservice charges by due date mentioned in bills or do not maintain adequate balance in Account for the same as the casemay be. There will be charge applicable to the tune of Rs. __________________ for resumption of services. The services will be resumedafter a minimum of __________ days from the date of receipt of request received at a Depository's (CDSL/NSDL) office.
- Details of your secured login will be given in Welcome Letter/email send to you upon activation of your account with Bajaj Financial Securities Limited.
- I/We authorise Bajaj Financial Securities Limited to collect my/our DP charges from my trading account.
- For Electronic Delivery through MCX / NCDEX client need to open separate respective COMRIS / COMTRACK / NERL / CCRL /EDCM account with participant. Separate charges with applicable taxes if any will be debited in client's ledger account
- In case of intra day transaction in Security/ Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
- Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.
- Physical Contract note delivery charges Rs.50 +GST (In case of bounced Contract note on email id /Whats app)

I declare that I have read, understood & agreed for the tariff charges and T&C's mentioned above.

Signed for and on behalf of	1st Holder Signature	2nd Holder Signature	3rd Holder Signature
Signature		S	T

TARIFF SHEET (NON-INDIVIDUAL)

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Signed for and on behalf of	1st Holder Signature	2nd Holder Signature	3rd Holder Signature
Signature			

Commodity Categorization Form

Name of Client: ______________________________

Client Code: ________________

Client Category	Description
Farmers/ FPOs	It includes participants such as farmers, farmers cooperatives, Famers Producers Organisations (FPOs) and such entities of like nature. FPO/Farmers consists of collectivization of producers especially small and marginal farmers
Value chain participants (VCPs)	It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/ FPOs.
Foreign participants	It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
Domestic financial institutional investors	It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds(AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
Others	All other participants which cannot be classified in the above categories.

☐ I declare that I am categorized under option 'Others' for each of the commodity mentioned below.

Or

Field Name	Farmers/FPOs	Value Chain Participant (VCP's)	Foreign Participants	Domestic financial institutional investors	Others
Aluminium	○	○	○	○	○
Bajra	○	○	○	○	○
Barleyjpr	○	○	○	○	○
Brass	○	○	○	○	○
Cardamon	○	○	○	○	○
Castoroil	○	○	○	○	○
Castorseed	○	○	○	○	○
Chana	○	○	○	○	○
Cocudakl	○	○	○	○	○
Coffee	○	○	○	○	○
Copper	○	○	○	○	○
Cotton	○	○	○	○	○
Cpo	○	○	○	○	○
Crudeoil	○	○	○	○	○
Dhaniya	○	○	○	○	○
Gold	○	○	○	○	○
Guarex	○	○	○	○	○
Guargum5	○	○	○	○	○

Field Name	Farmers/FPOs	Value Chain Participant (VCP's)	Foreign Participants	Domestic financial institutional investors	Others
Guarseed10	○	○	○	○	○
Isabol	○	○	○	○	○
Jeeraunjha	○	○	○	○	○
Kapas	○	○	○	○	○
Lead	○	○	○	○	○
Maizekhrif	○	○	○	○	○
Maizerabi	○	○	○	○	○
Mcxbulldex	○	○	○	○	○
Mcxenrgdex	○	○	○	○	○
Mcxmetldex	○	○	○	○	○
Menthaoil	○	○	○	○	○
Moong	○	○	○	○	○
Natural gas	○	○	○	○	○
Nickel	○	○	○	○	○
Nkrishi	○	○	○	○	○
Padypb1121	○	○	○	○	○
Pepper	○	○	○	○	○
Redpmolein	○	○	○	○	○
Rmseed	○	○	○	○	○
Rubber	○	○	○	○	○
Sbmealidr	○	○	○	○	○
Sesameseed	○	○	○	○	○
Silver	○	○	○	○	○
Steel	○	○	○	○	○
Sugar	○	○	○	○	○
Msybeanidr	○	○	○	○	○
Syoref	○	○	○	○	○
Tmcfgrnzm	○	○	○	○	○
Wheatfaq	○	○	○	○	○
Zinc	○	○	○	○	○